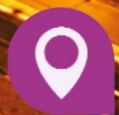


Appendix 1 – Strategic Risk Register @ February 2018



**Stronger
Economy**

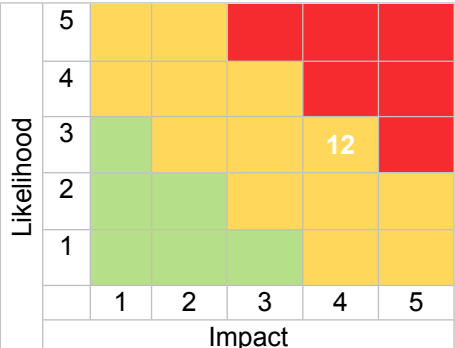
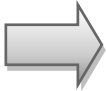


**Stronger
Communities**





**Stronger
Organisation**

- The following are the reported strategic risks that are currently assessed as high/medium (10 +) that the Council faces in delivering its corporate priorities.

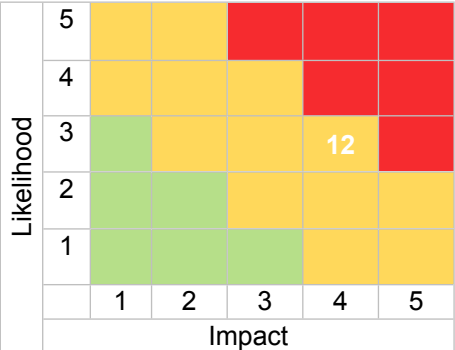

Risk ref	Risk title and description	Previous score (Dec 2017)	Direction of travel	Current score (Feb 2018)	Target score and date	Comment
3 01/14	<p>Information Governance (IG)</p> <p>If the Council does not put in place appropriate policies, procedures and technologies to ensure:</p> <ul style="list-style-type: none"> that the handling and protection of its data is undertaken in a secure manner and consistent with both the provisions of the Data Protection Act 1998 and the General Data Protection Regulation (GDPR) which comes into force during May 2018; compliance with the Freedom of Information Act and Environmental Information Regulations; <p>then it may be subject to regulatory action, financial penalties, reputational damage and the loss of confidential information.</p> <p>Risk owner: Kevin O' Keefe Cabinet Member: Cllr Milkinderpal Jaspal</p> 	12 Amber		12 Amber	8 Amber Nov 2018	<p>The score of this risk remains at 12 to reflect the demands of GDPR which is due to come into effect on 25 May 2018. As reported previously, in preparation for the new regulation a work programme has been developed. Progress against the work programme to date is as follows:</p> <ul style="list-style-type: none"> In accordance with the Communication and Training Needs Analysis - department/team training sessions are ongoing, with training for priority 1 departments being completed first. Training delivery is on track. Three Councillor training sessions have been undertaken and an information pack to support Councillors in their role as Data Controllers has been produced and issued to them. A Project Manager from the Council's Programme Office is assigned to the project. The IG Team have attended the new and existing Headteacher forums and have delivered awareness training on GDPR at these sessions. In addition, regular updates on the regulation are being communicated through the weekly schools bulletin. Schools are also being offered training through the Information Governance and GDPR specific SLA offering. Various City People articles regarding the new regulation have been published, alongside a GDPR briefing document that staff can download for further information. Further communications in City People and the Council's Core Brief have been scheduled during key points during 2018. GDPR update reports have been presented to Strategic Executive Board, Scrutiny Board and Cabinet Performance Panel. The GDPR e-learning module provided by the Learning Hub has been reviewed and is being re-configured to ensure that it meets the Council's needs. It is envisaged that this will provide further support to staff. Performance in response to both Freedom of Information and Subject Access requests continues to be high. However, it is


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						<p>anticipated that the number of Subject Access Requests received from May 2018 may rise with the introduction of GDPR, as the £10 fee is being removed.</p> <ul style="list-style-type: none"> An information governance risk register has been established and will be reported to and monitored by the Information Governance Board. 																																											
4 01/14	<p>Medium Term Financial Strategy</p> <p>If the Council does not manage the risks associated with the successful delivery of its medium term financial strategy including the continual review of the assumptions and projections of the strategy, the effective management of the key MTFS programmes and projects such as the transformation of Adults and Children's services then revenues may be exhausted, resulting in the potential loss of democratic control and the inability of the Council to deliver essential services and discharge its statutory duties.</p> <p>Risk owner: Keith Ireland Cabinet Member: Cllr Andrew Johnson</p> <table border="1"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td>12</td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table>	Likelihood	5						4						3			12			2						1							1	2	3	4	5		Impact						→	12 Amber	8* Amber On-going	<p>The Draft Budget and Medium Term Financial Strategy 2018-19 to 2019-20 was presented to Cabinet on 20 February 2018. The report detailed the following matters:</p> <ul style="list-style-type: none"> That the budget for 2018-2019 is in balance without the use of general reserves That a further £19.5 million needs to be identified over the period to 2019-2020 in order to address the projected budget deficit. That the projected budget deficit assumes the achievement of budget reduction and financial transaction proposals amounting to £28.3 million over the two-year period 2018-2019 and 2019-2020. That work will start immediately to identify additional budget reductions to address the projected budget deficit and that a report will be presented to Cabinet in July 2018 to include a high-level strategy.
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8 01/14	<p>Business Continuity Management (BCM)</p> <p>Failure to develop, exercise and review plans and capabilities that seek to maintain the continuity of critical functions in the event of an emergency that disrupts the delivery of Council services.</p> <p>Risk owner: Mark Taylor (John Denley) Cabinet Member: Cllr Paul Sweet</p> <table border="1"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td>12</td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table>	Likelihood	5						4						3			12			2						1							1	2	3	4	5		Impact					8 Amber		12 Amber	8 Amber March 2019	The risk score has increased because the Resilience Manager and one of the two current Resilience Officers are leaving their posts at the end of March. The Council are in the process of recruiting a Senior Resilience Officer and are currently reviewing options with regards to how the service will be provided in future.
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Risk ref	Risk title and description	Previous score (Dec 2017)	Direction of travel	Current score (Feb 2018)	Target score and date	Comment																																											
9 01/14	<p>City Centre Regeneration</p> <p>If the city centre regeneration programme is not effectively managed in terms of project timings, costs and scope, then it will be unable to maximise opportunities including:</p> <ul style="list-style-type: none"> the attraction of private sector investment the creation of space to accommodate new businesses and economic growth the enhancement and creation of visitor attractions the creation of well paid employment retention of skilled workers the creation of residential opportunities a functioning city centre offer that serves the residents of the City a reduced demand on Council services <p>Risk owner: Tim Johnson Cabinet Member: Cllr John Reynolds</p> <table border="1"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td>12</td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table>	Likelihood	5						4						3				12		2						1							1	2	3	4	5		Impact					12 Amber		12 Amber	12* Amber On-going	<p>Risks are being managed across the City Centre programme to address the potential for delayed delivery and cost overrun. With regards to key projects the following is noted:</p> <ul style="list-style-type: none"> On Interchange, Ion has now entered into the station build contract with Galliford Try, construction work commenced during December 2017. The negotiation around the contract had delayed construction start dates and increased overall costs but with the contract now concluded this clearly represents a major milestone in the delivery of the Interchange masterplan. An Investment Prospectus has been prepared in conjunction with CBRE which presents an ambitious but deliverable 10-year vision for the regeneration of the city centre. This is designed to engage investors and offer a compelling vision for private investment supported by targeted public intervention. The Prospectus points to opportunities for 1 million sq. ft. of office development around the Interchange, the next phase of which will be the i9 office development, a business case for which was recently approved by Cabinet. Benson Elliot has largely completed the refurbishment works to the Mander Centre with Debenhams, the anchor store now open. Continued support is being offered to Benson Elliot in attracting new occupiers. Outline planning permission has been secured for Westside with a view to the developer commencing works to phase 1 in Q3 2018. Work to enable this development including the relocation of the retail market to Snow Hill is progressing to meet this programme. Proposals to enhance key areas of public realm throughout the city are progressing with Westside Link and Cleveland Boulevard (connecting Westside and The Royal to the core centre) due for commencement in late 2018. These works will seek funding from the Local Enterprise Partnership off the back of outputs secured through the associated major developments. A collaboration agreement was signed with Canal & River Trust as a major landowner in the Canalside South area to partner on bringing forward key sites for residential led development.
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						<ul style="list-style-type: none"> • A joint study (with funding support from the Homes and Communities Agency) has recently been commissioned which will result in a phased masterplan proposal with clear routes to delivery, recommendations to support a business case for funding towards enabling works and potential procurement routes. • A design for City Learning Quarter is ongoing to meet the needs of user groups. Enabling works around land acquisition is continuing. The delivery programme is challenging and options around a phased decant for the college are being appraised. • Engagement with developers and investors continues across a range of sites. Feedback is very positive from investors who are becoming increasingly convinced that there is a developing momentum around the city centre.

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15 01/14	<p>Emergency Planning</p> <p>Failure to develop, exercise and review plans and capabilities for preventing, reducing, controlling or mitigating the effects of emergencies in both the response and recovery phases of a major incident. Failure to train sufficient numbers of staff to undertake the roles in our plans that assist our residents in emergencies and protect the council's reputation from damage. Failure to audit the emergency response plans and capabilities of third party organisations that deliver statutory services on behalf of the council.</p> <p>Risk owner: Mark Taylor (John Denley) Cabinet Member: Cllr Roger Lawrence and Cllr Paul Sweet</p>  <table border="1" data-bbox="219 906 672 1257"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>4</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>3</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>12</td> <td>Red</td> </tr> <tr> <td>2</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td>1</td> <td>Green</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table>	Likelihood	5	Yellow	Yellow	Red	Red	Red	4	Yellow	Yellow	Yellow	Red	Red	3	Green	Yellow	Yellow	12	Red	2	Green	Green	Yellow	Yellow	Yellow	1	Green	Green	Green	Yellow	Yellow		1	2	3	4	5		Impact					8 Amber		12 Amber	8 Amber March 2019	The risk score has increased because the Resilience Manager and one of the two current Resilience Officers are leaving their posts at the end of March. The Council are in the process of recruiting a Senior Resilience Officer and are currently reviewing options with regards to how the service will be provided in future.
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22 01/17	<p>Skills for Work and Economic Inclusion</p> <p>If the city residents do not have the appropriate skills that employers require and the Council does not work effectively with its partners to promote and enable growth, high rates of unemployment and economic inclusion will result in increased demand for council services.</p> <p>Risk owner: Tim Johnson (Keren Jones) Cabinet Member: Cllr John Reynolds</p> <table border="1"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td>10</td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table>	Likelihood	5						4						3						2					10	1							1	2	3	4	5		Impact					10 Amber		10 Amber	5 Amber March 2018	<p>Work is ongoing to support City residents and businesses effected by the insolvency of Carillion PLC. The Council has set up a multi-agency Carillion taskforce whose members include the West Midlands Combined Authority, Black Country Local Enterprise Partnership (LEP), Black Country Chamber of Commerce, Department for Work and Pensions and the Department for Business, Energy and Industrial Strategy.</p> <ul style="list-style-type: none"> A helpline to support affected business went live on 16 January 2017. To date the majority of businesses who have contacted the helpline are manufacturers and construction firms awaiting payment. Working in partnership with local training providers the Council have developed an online 'Response to Redundancy' support package. This includes an offer of one-to-one coaching and advice, access to vacancies, assistance with CV writing, interview preparation and support with online job applications. 13 Wolverhampton apprentices have been identified as affected, ten working in construction and three within Business Administration. The Construction Industry Training Board are working with and supporting the construction apprentices (approx. 1,400 in total including the ten from Wolverhampton), Black Country Training Group and the City of Wolverhampton College are providing Support to the Business Administration apprentices. Work is continuing to assess the full impact on supply chain businesses and the final number of Wolverhampton residents at risk of redundancy. <p>Work in other areas is ongoing;</p> <ul style="list-style-type: none"> At the time of reporting there had been over 92,000 unique visits to the Wolverhampton Work Box site and over 2,200 sign ups. The next stage of the project will be to engage between 10 -15 businesses as 'early adopters' who will post vacancies on the Work Box and to further enhance content by showcasing Careers into Care, Apprenticeships and Community Programmes. Workbox will also partner with Kareerhub to enhance Labour Market information and careers advice for school students.
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						<ul style="list-style-type: none"> • 280 employers have now agreed to be part of the Wolves @ Work programme which has successfully supported 1,948 people into work. • The Black Country wide European Social Fund and Youth Employment Initiative Impact project is ongoing. The project aims to support young people between 16 – 29 who are not in employment, education or training. 1500 young people have now engaged with the project which is currently performing ahead of profile. 600 of these have achieved positive outcomes in employment or education and training.

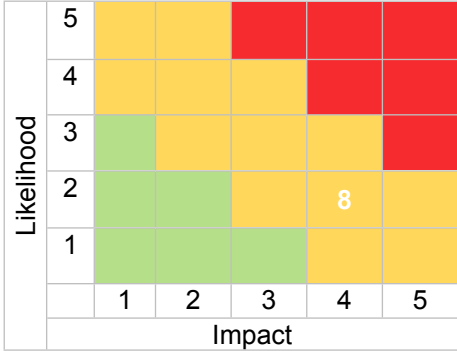

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23 01/17	<p>Cyber Security</p> <p>Failure to maintain a high level of cyber security (technology, processes and awareness) throughout the Council may result in cyber-attacks and theft or loss of confidential data leading to financial penalties, reputational damage and a loss in public confidence.</p> <p>Risk owner: Andy Hoare Cabinet Member: Cllr Milkinderpal Jaspal</p> <table border="1"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td>10</td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td colspan="7">Impact</td> </tr> </table>	Likelihood	5						4						3						2					10	1							1	2	3	4	5	Impact							10 Amber		10 Amber	10 Amber Ongoing – Dependent on cyber world-wide cyber incidents	<p>The level of this risk remains at ten as there are no imminent threats to Local Government at present.</p> <p>Maintaining robust, secure and up-to-date technology defences continues to be the Council's first line of defence against cyber-attacks. Regular maintenance of the cyber security technical defences is required to address identified vulnerabilities. System back-up's continue to be undertaken in accordance with agreed time-tables and practise restores to the Council's non-production area are ongoing to ensure that back-ups have been undertaken correctly and can be restored. Since last reported it is noted;</p> <ul style="list-style-type: none"> The Council's routine patching schedule has continued to ensure protection against the newly discovered threats and vulnerabilities whilst a special update was performed for the processor bug within Intel chipsets. Microsoft patches were uploaded and installed onto Council systems as soon as possible following their release in January. The move to the cloud helped to ensure minimal disruption following the recent flood in ICTS. Preparation for the next PCN Certification has begun, the certification is due in June. Prior to this internal and external health checks will be undertaken to identify any vulnerabilities which will then be addressed prior to the renewal of the certification. An independent body, NCC have been commissioned to undertake a health-check review which will take place in February. ICTS are not informed when the review will take place to ensure a true assessment is completed. <p>Information Security and Cyber-Security policies identify the good practices that need to be adopted by the Council. These, along with other HR policies, are regularly reviewed and updated to ensure they are keeping pace and addressing potential threat opportunities. Employee awareness of potential threats and good working practices, through mandatory and associated training continue to enhance the understanding of cyber security and good working practices, helping to minimise the opportunities.</p>
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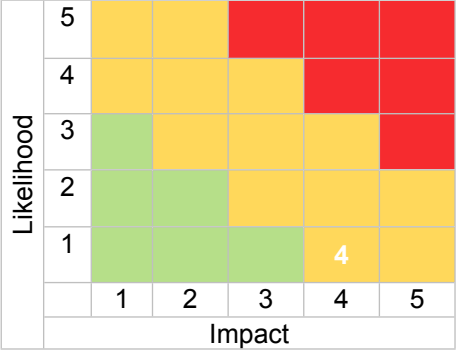

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29 12/17	<p>Fire Safety – Public Buildings</p> <p>If the Council does not have in place appropriate systems to ensure compliance with the Regulatory Reform (Fire Safety) Order 2005 within public buildings (including schools) there is a risk of injury to members of the public and exposure to regulatory action, financial penalties and reputation damage to the Council.</p> <p>Risk owner: Tim Johnson (Tim Pritchard) Cabinet Member: Cllr Peter Bilson</p> <table border="1"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td>10</td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table>	Likelihood	5						4						3						2					10	1							1	2	3	4	5		Impact					10 Amber		10 Amber	5 Amber March 2019	<p>The Council is the Responsible Person for public buildings. The named Responsible Person is Corporate Landlord's Head of Assets.</p> <ul style="list-style-type: none"> 98% of public buildings currently have a fire risk assessment (FRA). Existing FRA's have been reviewed and new FRA's commissioned where required. 78% of corporate buildings and 32% of community schools have a suitable and updated FRA. Subject to the agreement of additional funding, plans are in place to ensure that 100% of corporate buildings and community schools will have a suitable, updated FRA by the end of 2018. FRA's identify actions required to improve fire safety, which are the responsibility of Corporate Landlord or the Site Duty-holder. However, Corporate Landlord retain oversight of ALL actions in order to ensure implementation. Corporate Landlord undertakes actions to both buildings and systems, for example maintaining and upgrading fire protection systems such as alarms and sprinklers. Sufficient resource(s) are required in terms of specialist staff to arrange works and funding to undertake the works. Whilst additional staff have been provided, there is currently a funding shortfall, details of which will be presented in a briefing note, to Strategic Executive Board prior to the end of the financial year. It is also being recommended, in a separate briefing note that a Site Duty-Holder role be established to manage regular testing of equipment, training of staff, evacuation arrangements and provision of fire marshals. Corporate Landlord will support Site Duty-Holders in fulfilling their responsibilities, for example by arranging training for 500 fire marshalls, in conjunction with Workforce Development. Corporate Landlord will develop online fire log books during 2018, and will undertake site audits to ensure that Site Duty-Holders are undertaking required actions. Corporate Landlord will also implement liaison arrangements for buildings in multiple occupation, such as I10 and I11.
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30 01/18	<p>Civic Halls</p> <p>There is a significant reputational and financial risk to the Council and to the City's wider visitor economy if the revised Civic Halls refurbishment programme is not effectively managed in terms of project timings, costs and scope.</p> <p>Risk owner: Tim Johnson (Keren Jones) Cabinet Member: Cllr John Reynolds</p> <table border="1"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td>16</td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td colspan="7">Impact</td> </tr> </table>	Likelihood	5						4				16		3						2						1							1	2	3	4	5	Impact							N/A	N/A	16 Red	12 Amber Dec 2018	<p>As previously reported plans for the £14.4 million redevelopment of the 80-year-old, Grade II-listed Civic Halls had begun but work was held up when contractors uncovered major issues.</p> <p>On 31st January 2018, the Council approved a further £23.7 million for a new scheme for the Civic Halls on the basis of a revised business case to address significant building fabric, structural and management issues following detailed technical surveys and reviews that were undertaken in 2017. Additional works include a substantial number of items not included in the original scheme such as a new electrical and engineering system, major structural work, including a new roof and the latest safety and security measures.</p> <p>A new governance and project management structure is being put in place, commensurate with the scale and complexity of this much larger scheme, including the appointment of a more experienced Project Manager. The new project includes a range of work-streams alongside the main design and construction works. Brief details of which are provided below;</p> <ul style="list-style-type: none"> • Business continuity – including the identification of alternative venues for key entertainment events in 2018 and 2019. • Business development – which includes the development of the new entertainment and conferencing offer to be introduced once the venue is reopened. • Economic – ensuring economic objectives such as provision of skills and training and providing support to local business. • Financial – ensuring appropriate financial controls are in place and where appropriate external funding is utilised effectively. <p>A full risk assessment work shop to fully review and mitigate against all risks across these work streams was undertaken on 7 February 2018.</p> <p>As a result of the issues at Civic Halls the Managing Director has requested Audit Services to undertake a lessons learnt review in order to prevent similar issues with future projects.</p>
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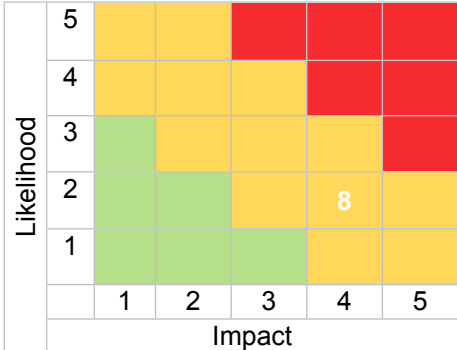

Risk ref	Risk title and description	Previous score (Dec 2017)	Direction of travel	Current score (Feb 2018)	Target score and date	Comment
31 02/18	<p>City of Wolverhampton College There is a risk that the City of Wolverhampton College is not financially viable without a partner. The preferred partner is the City of Wolverhampton Council. Without the Council's support, there is a risk of a merger. At this point we are unable to agree the words of a Memorandum of Understanding which satisfies the business requirements of the Council. The risk of not finding a solution could be the loss of the College to influences external to the City.</p> <p>Risk owner: Keith Ireland Cabinet Member: Cllr Claire Darke and Cllr John Reynolds</p>	N/A	N/A	12 Amber	4 Amber Sept 2018	The Managing Director, Director of Finance and the Director of Governance are working with the Transaction Unit, Education and Skills Funding Agency and the College to find an acceptable way forward.

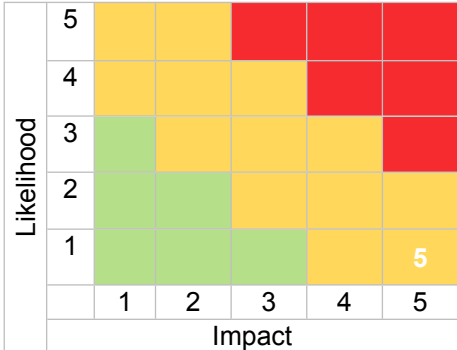

- The following are the medium and low (assessed at less than 10) strategic risks that the Council faces in delivering its corporate priorities.

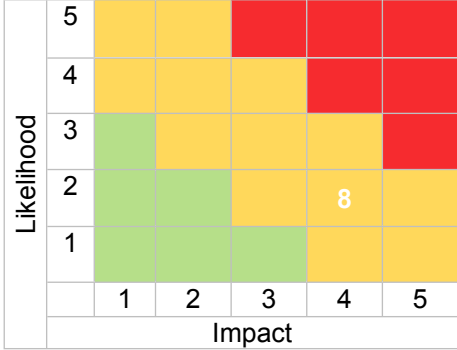

Risk ref	Risk title and description	Previous score (Dec 2017)	Direction of travel	Current score (Feb 2018)	Target score and date
7 01/14	<p>Safeguarding</p> <p>If the Council's safeguarding procedures and quality assurance processes are not consistently and effectively implemented then it will fail to safeguard children and vulnerable adults and lead to reputational damage.</p> <p>Risk owner: Emma Bennett Cabinet Member: Cllr Val Gibson and Cllr Sandra Samuels OBE</p> 	8 Amber		8 Amber	5 Amber March 2018

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14 01/14	<p>School Improvement</p> <p>If the Council does not provide effective support, challenge and appropriate intervention to raise standards in maintained schools and school governance, then the Council and these schools are at risk of underperforming, receiving inadequate Ofsted judgements and a potential loss of control and influence.</p> <p>Risk owner: Meredith Teasdale Cabinet Member: Cllr Claire Darke</p>  <table border="1" data-bbox="253 560 707 911"> <tr><td></td><td>5</td><td>Yellow</td><td>Yellow</td><td>Red</td><td>Red</td><td>Red</td></tr> <tr><td></td><td>4</td><td>Yellow</td><td>Yellow</td><td>Yellow</td><td>Red</td><td>Red</td></tr> <tr><td></td><td>3</td><td>Green</td><td>Yellow</td><td>Yellow</td><td>Yellow</td><td>Red</td></tr> <tr><td></td><td>2</td><td>Green</td><td>Green</td><td>Yellow</td><td>Yellow</td><td>Yellow</td></tr> <tr><td></td><td>1</td><td>Green</td><td>Green</td><td>Green</td><td>Yellow (4)</td><td>Yellow</td></tr> <tr><td>Likelihood</td><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr> <tr><td></td><td></td><td colspan="5">Impact</td></tr> </table>		5	Yellow	Yellow	Red	Red	Red		4	Yellow	Yellow	Yellow	Red	Red		3	Green	Yellow	Yellow	Yellow	Red		2	Green	Green	Yellow	Yellow	Yellow		1	Green	Green	Green	Yellow (4)	Yellow	Likelihood		1	2	3	4	5			Impact					8 Amber		4 Amber	4 Amber Target achieved 95% maintained schools @ good or above
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24 01/17	<p>Maximising Benefits from West Midlands Combined Authority</p> <p>If the Council does not put in place effective co-ordination arrangements to utilise the opportunities available from being part of West Midlands Combined Authority (WMCA) it will be unable to maximise the benefits and opportunities available to it.</p> <p>Risk owner: Keith Ireland Cabinet Member: Cllr Roger Lawrence</p> <table border="1" data-bbox="250 507 705 858"> <tr><td></td><td>5</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>4</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>3</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>2</td><td></td><td></td><td>6</td><td></td><td></td></tr> <tr><td></td><td>1</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>Likelihood</td><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td><td></td></tr> <tr><td></td><td colspan="5">Impact</td><td></td></tr> </table>		5							4							3							2			6				1						Likelihood								1	2	3	4	5			Impact						6 Amber		6 Amber	3 Green April 2018
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25 03/17	<p>Payment Card Industry Data Security Standard</p> <p>If the Council does not put in place appropriate systems, procedures and technologies to ensure agent-led telephone payments are compliant with the Payment Card Industry Data Security Standard, there is a risk of data breaches which may result in regulatory action, financial penalties and reputational damage.</p> <p>Risk owner: Mark Taylor Cabinet Member: Cllr Andrew Johnson</p>  <table border="1" data-bbox="250 576 705 927"> <tr><td>5</td><td>Yellow</td><td>Yellow</td><td>Red</td><td>Red</td><td>Red</td></tr> <tr><td>4</td><td>Yellow</td><td>Yellow</td><td>Yellow</td><td>Red</td><td>Red</td></tr> <tr><td>3</td><td>Green</td><td>Yellow</td><td>Yellow</td><td>Yellow</td><td>Red</td></tr> <tr><td>2</td><td>Green</td><td>Green</td><td>Yellow</td><td>8</td><td>Yellow</td></tr> <tr><td>1</td><td>Green</td><td>Green</td><td>Green</td><td>Yellow</td><td>Yellow</td></tr> <tr><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr> <tr><td></td><td colspan="5">Impact</td></tr> </table>	5	Yellow	Yellow	Red	Red	Red	4	Yellow	Yellow	Yellow	Red	Red	3	Green	Yellow	Yellow	Yellow	Red	2	Green	Green	Yellow	8	Yellow	1	Green	Green	Green	Yellow	Yellow		1	2	3	4	5		Impact					8 Amber		8 Amber	4 Amber April 2019
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27 06/17	<p>Safety concerns around the City's tower blocks</p> <p>Following the recent tragic events at Grenfell Tower in London, there is an urgent need for the Council to ensure that the tower blocks in the City do not face the same risks, and that tenants can be assured on this.</p> <p>Risk Owner: Shaun Aldis Cabinet Member: Cllr Peter Bilson</p>  <table border="1" data-bbox="250 545 705 896"> <tr> <td rowspan="5">Likelihood</td> <td>5</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>4</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>3</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> </tr> <tr> <td>2</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td>1</td> <td>Green</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>5</td> </tr> <tr> <td></td> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td></td> <td colspan="5">Impact</td> </tr> </table>	Likelihood	5	Yellow	Yellow	Red	Red	Red	4	Yellow	Yellow	Yellow	Red	Red	3	Green	Yellow	Yellow	Yellow	Red	2	Green	Green	Yellow	Yellow	Yellow	1	Green	Green	Green	Yellow	5			1	2	3	4	5			Impact					5 Amber		5 Amber	5 Amber Target achieved
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28 10/17	<p>Health and Safety</p> <p>Through failure to use safe working methods the Council may be exposed to regulatory action, financial penalties and reputational damage.</p> <p>Risk owner: Claire Nye Cabinet Member: Cllr Milkinderpal Jaspal</p> 	8 Amber		8 Amber	4 Amber Sept 2018

* The target assessment for these risks remains constant as they are risks which are likely to remain at their current level over the medium term and as such these risks may not have target dates.